

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

[X] I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: August 23, 2004

Signature of Person Certifying: / (
Printed Name: Nancy L. Hug

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	Group Art Unit: 3624	
Henry Schellhorn)) Examiner: Narayanswamy	
Serial No.: 09/614,427	Subramarian	
Filed: July 12, 2000))	
For: VARIANCE REDUCTION TECHNIQUE FOR LARGE SCALE RISK MANAGEMENT))))	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO/SB/08a, and a copy is attached.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

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Information Disclosure Statement Filing Provision:

(1) within	nis IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is three months of the filing date of the application, which is not a continued prosecution n filed under § 1.53(d) or (2) within three months of entry of the national stage as set forth in
• •	1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the
mailing of	f a first Office action after filing a request for continued examination under § 1.114. Thus, no
fee is requ	uired.
	However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below.
	However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
	nis IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 1.311.
\boxtimes	The fee due under 37 CFR § 1.17(p) is submitted herewith.
	A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.
§ 1.113 or	his IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted
	his IDS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee.

	STATEMENT UNDER 3	/ CFR § 1.9/(e):
	Each item contained in this IDS was first cited	l in a communication from a foreign patent office
in a co	ounterpart foreign application not more than thre	ee months prior to the filing of this IDS.
	No item contained in this IDS was cited in a c	ommunication from a foreign patent office in a
counte	erpart foreign application, and, to the knowledge	of the person signing this statement after
makin	g reasonable inquiry, no item of information con	ntained in this IDS was known to any individual
design	nated in 37 CFR § 1.56(c) more than three month	ns prior to the filing of this IDS.
	PAYMENT AND/OR AUTHORIZ	ATION TO CHARGE FEES:
	A check in the amount of is enclosed for	or the above fee(s).
\boxtimes	The Commissioner is authorized to charge the	fee due under 37 CFR § 1.17(p) and to credit
any ov	verpayment and to charge any underpayment to	Bingham McCutchen's Deposit Account
No. 50	0-2518, referencing billing no. 7011232001, fo	or any fees required by the filing of these papers.
		. Respectfully submitted,
Dated	: August 23, 2004	By: Chance Japet D. Chance Reg. No. 55,048
Three San Fr Teleph	HAM McCUTCHEN LLP Embarcadero, Suite 1800 rancisco, CA 94111-4067 none: (650) 849-4400 x: (650) 849-4800	

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

AUG 2 6 2004 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Red tion Act of 1995, no pe

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known		
Application Number	09/614,427	
Filing Date	July 12, 2000	
First Named Inventor	Henry Schellhorn	
Art Unit	3624	
Examiner Name	Narayanswamy Subramanian	
Attorney Docket Number	250/271	

	U.S. PATENT DOCUMENTS				
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials No.		Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	1.	US-6,772,136	08/03/04	Elaine Kant et al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
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Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.